

EIS 03-01-02

#4 5/1/01  
Sector

EXPRESS MAIL CERTIFICATE

EX 039138795 - US

Date 3/27/02 Label No.

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

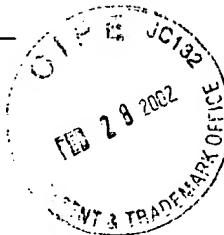
B.W. Lee

Name (Print)

B.W. Lee

Signature

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100



Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.: 0630/1G704US2

COPY OF PAPERS  
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Douglas C. Harnish; Marshall Scicchitano; Sotirios K. Karathanasis; Chu-Lai Hsiao

Serial No.: 09/924,944

Art Unit: 1651

Confirmation No.: 2000

Filed: 08/08/01

Examiner:

For: ASSAY TO IDENTIFY ESTROGEN RECEPTOR DEPENDENT LIGANDS  
THAT REGULATE THE HEPATIC LIPASE PROMOTER

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney
2. Payment in the amount of \$ 1000, (\$ 830 filing; \$ 40 recording; \$130 late)  
in the form of:  
 check  
 deposit account no. 04-0100  
 credit card (see attached form)  
(See attached Fee Computation Sheet)
3.  Drawings, sheets (Figs. )
4. Petition for Extension of Time (3 months)
5.  Assignment for recording to: American Home Products Corporation
6.  Applicant claims small entity status.  
**PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.**
7. A copy of Notice to File Missing Parts of Application.

Priority is claimed for this application, corresponding application/s having been filed as follows:

Country: USA  
Number: 60/223,647  
Date: 8/8/00

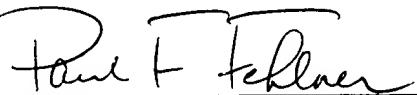
Country: USA  
Number: 60/255,837  
Date: 12/15/00

The priority documents       are enclosed  
                                  will follow.  
                                  were filed in a previous application.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

Dated: February 27, 2002

  
Paul F. Fehlner  
Paul F. Fehlner, Ph.D.  
Reg. No. 35,135  
Attorney for Applicant(s)

DARBY & DARBY P.C.  
805 Third Avenue  
New York, NY 10022  
212-527-7700

Serial No. 09/924,944

Docket No. 0630/1G704US2

PATENT FEE COMPUTATION SHEET

No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee . . . . .			\$740.00
Total Claims        25 - 20	- 0 = 5	x \$18.00	\$90.00
Independent Claims        1 - 3	- 0 = 0	x \$84.00	\$0.00
Multiple Dependent Claims	- if so, add	\$280.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00) . . . . .			\$130.00
SUBTOTAL . . . . .			\$960.00
[ ] Small Entity REDUCTION (Half of Subtotal) . . . . .			\$0.00
Fee for recordation of assignment (\$40.00) . . . . .			\$40.00
Charge for filing non-English language application (\$130.00)			\$0.00
TOTAL . . . . .			\$1000.00



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/924,944	08/08/2001	Douglas C. Harnish	0630/1G704US2

FEB 28 2002  
U.S. PATENT & TRADEMARK OFFICE

DARBY & DARBY P.C.  
805 Third Avenue  
New York, NY 10022

**CONFIRMATION NO. 2000**  
**FORMALITIES LETTER**



\*OC000000006560250\*

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Date Mailed: 09/17/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$90.
  - \$90 for 5 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 930.**

*A copy of this notice **MUST** be returned with the reply.*

*Arnold*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/08/2002 MBERHE 00000033 09924944

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	90.00 OP